

## CIO WEEKLY DASHBOARD REPORT

As of July 29, 2002

### SFA Projects

See mod partner summary  
attached

### Hot Action Items

NO	PROJECT	Point Person	Status	Due Date	Results or Deliverables	Updates/Issues
1	ED/FSA CIO Plan-Luigart/Hawald	Hill	Green	4/15/2002	Revised Workplan from ED CIO	On hold until further notice.
2	Enterprise Architecture with ED	Feely/Hill	Green	9/30/2002	Approved Framework	We continue to attend the Information Management working group. We are working with the AWG on a framework to be presented to the IMWG at the end of July. FSA framework presented to the IMWG on 7/17.
3	Executive Conference Room	Feely/Sheh	Green	8/15/2002	Completed Conference Room	Received a proposal from Criticom. AV Washington delivered requirements document. Met with Steve Finch on April 10. A conference with AV Washington occurred on Monday, 4/24. AV Washington sent an updated requirements. A meeting with Finch was held 5/17. AV Washington brought in a Drapery Company. We received a proposal on June 28. Met with Candy on July 3 to review capability of room. Receive updated proposal on July 15. Wrote SOO. 7/25 Harry will talk with Rosco to define approach for contractor.
4	VTC Conference rooms	Shehata	Yellow	7/31/2002	Completed conference rooms	The Quality Work Group (QWG), and the building manager are on the prep work for all the VTC Conf. rooms at the UCP. The QWG has prepared a cost proposal to GSA on 7/1/2002 for work to be performed. GSA is waiting for the lessor to provide a cost proposal (7/25). The delay is a facilities issue!
5	Revise IRB/DSG approval and Review process	Feely/Allison	Green	5/30/2002	New Release of IRB Guidelines	Working with Dept on this and new Business Case Template. Separate but equal tracks with Department.
6	Lobby Monitor	Coleman	Green	9/30/2002	Lobby Monitor is running with improved processes	The low tech approach to the lobby monitor using PowerPoint is installed, tested, and available for use immediately. The automated approach will be achieved through the technical efforts of the SOO by 9/30/02. Responses received from the SOO and a vendor has been chosen. Communication of content management guidelines for the Lobby Monitor was presented the July 24 Senior Leadership meeting by Joe Aiello and Stephanie Williams. 7/26 Funding of the contract has been restored. The contract will be awarded 7/29.
7	Enterprise ITR Initiative: Creating Customer Solutions	Kuriatnikova	Green	12/30/2002	Customer Solution Program	In the process of scheduling the mid-year review of the Ombudsmen office. Planning to brief the Senior Leadership on the Customer Planning Process through the testimonial of our customer, Deb Wiley; the status of the program, and the implementation strategy for completion by 12/30/02.

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8	CSC/VDC Service Review	Moore/Wilson	Green	7/28/2002	Corrective Action Plan	Gap closure due in July.
9	VDC/CIO Management Meetings	Wilson, Moore, Snead, Jarmusik	Green	Monthly	Customer Input	Currently working to schedule VDC Budget meeting for the month of July.
10	Personnel	Burton	Yellow		Fill Critical Vacancies	Details contained on addendum

Audit / Compliance /  
Reviews

NO	PROJECT	Point Person	Status	Due Date	Results or Deliverables	Update/Issues
1	IG ED GPEA Audit	Roland	Green	7/30/2002	Completed GPEA Audit	Harry met with OIG on 2/22 and 3/1 to discuss mod projects. Met with OIG and business units on 5/9. Report will be available by mid-June. 6/24 The audit is in. 7/25 FSA had no reportable findings. We will try to partner with OCIO to develop a GPEA strategic plan that can be implemented department wide.
2	IT / Financial Statement Audit	Feely	Green	??	Completed Audit	There are 5 total audit findings. Two were related to NSLDS and both have been addressed. The other three related to FFEL and EDCAPS. (3/13) As of 5/22 Alexander transitioned responsibility to Feely for tracking purposes only. FSA CIO does not have any outstanding tasks relating to this audit. 7/15 Moore will coordinate with Sybil Phillips to determine status. He will report at 7/22 meeting.
3	GAO Personally Identifiable Information Audit	Feely	Green	??	Completed Personally Identifiable Information Audit	Met with OIG concerning FAFSA - 2/19. GAO held working group meetings with FASFA 4/4 and 4/5. 7/23 Draft department to congress was Reid. Responses due back 7/26.
4	IG Clinger Cohen Review	Hill	Green	??	Completed Clinger Cohen Audit	Entrance meeting on October 24, 2001 and we provide a great deal of information. On December 31, 2001 the IG sent back questions / consolidated responses were sent back on January 28, 2002 and February 5, 2002. Denise Hill forwarded more architecture documents on January 30, 2002 and held a follow up meeting on March 4, 2002 to focus on Enterprise Architecture. 7/15 Exit conference was held - FSA rated mostly level 4 on a scale of 1-5
5	IG GISRA Audit - Year 2	Boots	Green	7/31/2002	IG portion of annual Departmental GISRA report	VDC portion of GISRA audit began on 4/15/02 and is more or less complete, though no report is yet available. The IG issued an "action memo" on 6/17, highlighting problems discovered. FSA/VDC team responded on 7/17.  As part of this year's GISRA review, the IG is also supposed to be reviewing some major applications (CPS, COD, etc.), but that work has not yet begun.

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6	GISRA Reports to OMB	Boots	Green	7/31/2002	Quarterly POA&M Report; Annual GISRA Report	In April, ED reported to OMB that FSA missed a single deadline (MDE degaussing), which is nearly complete. Next Report to OMB is July 31. Should include new Departmentally-proposed deadlines (slipped dates) for security plans, C&A, etc.
7	Review of Accenture Contract for FSA Blueprint	Feely	Green	??	Audit Report	OIG is preparing draft report.
8	Continuity of Operation Audit - OIG	Haldane	Green	??	Complete Audit	Kick off meeting is scheduled for July 18th. The department has a lead on this audit.
9	IG Survey of Enterprise Architecture	Hill	Green	??	Decision on whether to proceed with audit	IG currently in the survey phase. Denise met with ED/CIO and IG; IG is aware that we are discussing integration opportunities. We will discuss at our June meeting With the IG STAFF. The exit audit was held on 7/15. We expect to receive the full report soon.
10	MIT 3 Items should be closed	Hill	Green	??	Will be included in GISRA reporting.	The three MIT recommendations (for Department) are: Security plans for applications; classification of data, and certification and accreditation. These items will be included by the Department in the next (7/31) GISRA report will be tracked thereafter via GISRA.
11	GISRA Activities	Boots	Green	9/30/2002	<p>Risk assessments and follow-up (for each finding concurrence/non-concurrence statement or Plan of Action and Milestones (POA&amp;M) and estimated cost for remediation) by 7/29</p> <p>Complete NIST self-assessment matrix (over 1000 data points) by 7/29</p> <p>Updated Inventory Form for each system by 7/29</p> <p>Critical Infrastructure Protection Survey for each system by 7/29</p> <p>Other activities leading to Certification and Accreditation in FY 2003.</p>	<p>Risk assessments done by FSA contractors (Servicing, Origination, PEPS) were completed by 5/31 and their final reports are done. FSA received the Booz-Allen-Hamilton (BAH) reports for the remaining systems on July 2, without results of the scans done in June. VDC scan results were due on or about July 15.</p> <p>FSA and contractor personnel working on NIST, CIP, and Inventory worksheets for S&amp;P Team by July 22 for submission to ED July 29.</p>

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12	GISRA Risk Assessments	Boots	Green	5/31/2002	Risk Assessments	Booz-Allen-Hamilton (BAH) team completed VDC on-site work on 4/18. However, they felt the scans and other data they were to obtain from the IG would not be sufficient so did a return visit in June. By contrast, risk assessments done by FSA contractors (Servicing, Origination, PEPS) were completed by 5/31 and their final reports are done. These business units are preparing corrective action plans. The BAH report on the VDC affects all apps hosted there and is further confounded by the expected "action letter" from the IG. FSA received the BAH reports on July 2, without results of the scans done in June. Initial review of the BAH reports find the assessments at best marginally acceptable with one System Owner demanding the report to be "withdrawn immediately and resubmitted with a more accurate finding". ED/OCIO has stated that the VDC scans will provide a better look at FSA security weaknesses and are currently reviewing the request to withdraw and resubmit the one report.
13	GAO - PBO Audit	Feely	Green	??	Complete NIST self-assessment matrix (over 1000 data points) by 7/29	FSA and contractor personnel working on NIST, CIP, and Inventory worksheets for S&P Team by July 22 for submission to ED July 29
14	OIG - Audit of Controls Over the Access, Disclosure and Use of Social Security Numbers	Boots	Green	??		Entrance conference with Students, Schools, Policy, and CIO held on Tuesday, April 30. Action is with the channels. As of July 11, the IG is still working this audit.
15	Gartner's Audit/Scoreboard on CSC Virtual Data Center Contract	Wilson	Green	7/19/2002	Updated Inventory Form for each system by 7/29	Non-disclosure being worked & visit/agenda to Meriden being worked collaboratively
16	OIG Audit for Service Continuity, ACN-A11-C0010	Moore	Green	8/31/2002		This is another review of FSA's DR and COOP. Audit completes the end of May, draft report the end of June and final the end of August. CIO is providing additional DRP documentation from the business units (app-side recovery plans).
17	GAO - Homeland Security Information Technology Funding	Feely	Green	??		7/15 An Entrance conference was held on 7/18
18	Specialized training for IT Security personnel	Boots	Green	12/31/2002	Completed training for all FSA personnel identified	Training is being offered and coordinated by ED CIO, using the TVU web-based training curricula. Procedures for confirming completion of training are to be determined. Channels are validating the lists that identify individuals requiring training.
19	GISRA Risk Assessments -- Next Steps	Boots	Green	7/29/2002	Other activities leading to Certification and Accreditation in FY 2003.	Responses to the risk assessments delivered July 2 will include a cover memorandum from the COO, a copy of the PO risk assessment report, and concurrence/non-concurrence and remediation measures charts. The remediation measures charts will include cost estimates for remediation of each finding of "high" or "medium" risk. No remediation is required -- though not discouraged -- for low-risk findings.
20	OIG Action Memo Sys 02-1	Wilson	Green	8/31/2002	Complete Corrective Action Plan (4 items)	CAP created and forwarded to IT on 17 July

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21	Specialized security training for IT personnel	Boots	Green	12/31/2002	Completed training for all FSA personnel identified	Free training is being offered and coordinated by ED CIO, using web-based training modules. FSA organizations are validating the lists that identify people requiring training.
22	GAO Entrance Conference on Middleware	Reddy	Green	8/1/2002		Entrance conference held on 8/6. Reddy is coordinating with COD team to gether information.

Key

Green	On Schedule
Yellow	Minor schedule slippage / manageable issues
Red	Significant impact to project schedule